TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No 112713-486 (ALT 5604D N4 (Under 37 CFR 1.97(b) or 1.97(c)) In Re Application: Connell, M., et al. Group Art Unit Serial No. Filing Date Examiner October 27, 2003 Unknown Unknown 10/695,526 **PARATUS FOR KIDNEY DIALYSIS** Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. Charge the amount of Credit any overpayment. \boxtimes Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service on Feb. 12, 2004 Patent and Trademark Office (F: as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Date) Signature Signature of Person Mailing Correspondence Renee Street Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: February 12, 2004 Signature Robert M. Barrett (Reg. No. 30,142) Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 Tel: 312/807-4204 Fax: 312/827-8185 CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 112713-486 (ALT 5604D N4)

				
In Re A	pplication Of:	Connell, M., et al.		
	Serial No.	Filing Date	Examiner	Group Art Unit
1	10/695,526	October 27, 2003	Unknown	Unknown
Title:	FEB 1 2004	APPARATUS FOR KIDNEY DIAI	LYSIS .	
	WENT & TRADER	Commissi P.O Alexandria	codress to: Sioner for Patents D. Box 1450 ia, VA 22313-1450 CFR 1.97(b)	
1. 🛚	of a national application; befo	oplication other than a continued f the date of entry of the national ore the mailing of a first Office Act filing of a request for continued ex		CFR 1.53(d); within
2. 🗆	CFR 1.97(b), pro Final Action und	Disclosure Statement submitted rovided that the Information Discl	CFR 1.97(c) If herewith is being filed after the perclosure Statement is filed before the fallowance under 37 CFR 1.311, and is accompanied by one of:	ne mailing date of a
	☐ the statem	ment specified in 37 CFR 1.97(e);		
	☐ the fee set	OR et forth in 37 CFR 1.17(p).		

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. 112713-486 (ALT 5604D NA (Under 37 CFR 1.97(b) or 1.97(c)) In Re Application: Connell, M., et al. Serial No. Filing Date Examiner **Group Art Unit** 10/695,526 October 27, 2003 Unknown Unknown APPARATUS FOR KIDNEY DIALYSIS **Payment of Fee** (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. Charge the amount of \boxtimes Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States on Feb. 12, 2004 with the U.S. Postal Service Patent and Trademark Office (F: as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Date) Signature of Person Mailing Correspondence Signature Renee Street Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: February 12, 2004 Signature Robert M. Barrett (Reg. No. 30,142) Bell, Boyd & Lloyd LLC P.O. Box 1135

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Tel: 312/807-4204 Fax: 312/827-8185

CC:



Applicant:

Connell, M., et al.

Appl. No.:

10/695,526 Unknown

Conf. No.: Filed:

October 27, 2003

Title:

METHOD AND APPARATUS FOR KIDNEY DIALYSIS

Art Unit: Examiner:

Unknown Unknown

Docket No.:

112713-486 (ALT 5604D N4)

Mail Stop

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the documents identified herein and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

Applicants note that U.S. Patents from which the above-identified application claims priority (i.e., U.S. Patent Nos. 5,744,027, 5,486,286, and 5,247,434) are presently the subject of a pending litigation styled *Fresenius Medical Care Holdings, Inc. and Fresenius USA, Inc. v. Baxter International Inc. and Baxter Healthcare Corp.*, Case No. C 03-1431 SBA, United States District Court, Northern District of California, Oakland Division. A copy of the Complaint as well as a copy of defendants' Answer are attached hereto as Exhibits A and B, respectively. In the course of that litigation, the documents listed herein were cited in Fresenius Medical Care Holdings, Inc.'s And Fresenius USA, Inc.'s Preliminary Invalidity Contentions Pursuant To patent L.R. 3-3 filed with the court, a copy of which is attached hereto as Exhibit C, concerning the patents enumerated above and other patents in suit (i.e., U.S. Patent Nos. 5,326,476 and 6,284,131).

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Foreign reference WO 86/01115 listed above is not in the English language. An English language abstract is included within the foreign reference.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL BOYD & LLOYD LLC

BY

Robert M. Barrett Reg. No. 30,142 P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4204

Dated: February 12, 2004

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

PTO	Form	1449

Atty Docket No. 112713-486 (ALT 5604D N4)	Application No. 10/695,526
Applicant Connell, M., et al.	
Filing Date	Group
October 27, 2003	Unknown

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	Examiner's Initials	I	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
B	A 13	3,5	515,275	June 2, 1970	D.B. Bowman		ı	
' [OCH COLLEGE	4,1	53,554	May 8, 1979	von der Heide et al.			
70	OEM	4,2	267,040	May 12, 1981	Schäl			
٣		4,3	34,988	June 15, 1982	Milligan			
		4,5	09,526	April 9, 1985	Barnes et al.			
		4,6	529,015	December 16, 1896	Fried et al.			
		4,7	25,694	February 16, 1988	Auer et al.			
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		4,8	39,822	June 13, 1989	Dormond et al.			
-[4,9	42,514	July 17, 1990	Miyagaki et al.			
	. 0	5,0	54,774	October 8, 1991	Belsito		•	
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Examiner's Document		Publication				Translation	
Initials	Number	Date	Country	Class	Subclass	Yes	No
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	0 089 003 A2	September 21, 1983	Europe				
	WO 86/01115	February 27, 1986	PCT				

Examiner's	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Initials	•
	Beaumont, J. G., et al., "A clinical field study of eight automated psychometric procedures: the Leicester/DHSS project," International Journal of Man-Machine Studies, Vol. 26, No. 2, January 1987, (pp. 661-682).
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Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 TOOL STATE	Ebel, H., et al., "Influence of Computer-Modulated Profile Haemodialysis on Cardiac Arrhythmias," Nephrological Dialysis and Transplant, Supplement 1, 1990, (1 pg.).
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